IFW

Docket No.: VALTX.002A **Customer No. 20,995**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant	:	Jonathan Kagan et al.
App. No.	:	10/699,589
Filed	:	October 31, 2003
For	:	APPARATUS AND METHODS FOR TREATMENT OF MORBID OBESITY
Examiner	:	Unknown
Group Art Unit	:	3762

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

September 15, 2004
(Date)

Gerard von Hoffmann, Reg. No. 33,043

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 44 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

Identification herein is not an admission that any of the foregoing are prior art to the above captioned application.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 9/15/04

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. VALTX.002A	APPLICATION NO. 10/699,589
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	APPLICANT Jonathan Kagan et al.	
	FILING DATE October 31, 2003	GROUP 3762

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	2004/0039452 A1	02/2004	Bessler			
	2.	2004/0082963 A1	04/2004	Gannoe et al.			
	3.	2004/0087977 A1	05/2004	Nolan et al.			
	4.	2004/0089313 A1	05/2004	Utley et al.			
	5.	2004/0107004 A1	06/2004	Levine et al.			
	6.	2004/0117031 A1	06/2004	Stack et al.			

EXAMINER INITIAL		**OTHER DOCUMENTS** (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	7.	*Antireflux operations at flexible endoscopy using endoluminal stitching techniques: an experimental study*, Sritharan S. Kadirkamanathan et al., Gastrointestinal Endoscopy, Volume 44, No. 2, 1995 pp. 133-143
	8.	*Endoscopic suturing*, C. Paul Swain MD, Balliere's Clinical Gastroenterology, Vol. 13, No. 1. pp 97-108, 1999
	9.	*Progression rate of self-propelled feeding tubes in critically ill patients*, Mette M. Berger et al., Intensive Care Med 29 Oct. 2002, pp. 1768-1774
	10.	*Iatrogenic Intussusception: a Complication of Long Intestinal Tubes*, Patricia Redmond, M.D., et al., American Jounal of Gastroenterology, Vol. 77, No. 1, 1982, pp. 39-42
	11.	*Design and Testing of a New, Small Diameter, Single Stitch Endoscopic Sewing Machine*, C.P. Swain et al., Abstracts Submitted to A/S/G/E/ 1990, Vo. 36, No. 2, 1990, pp. 213, 214
	12.	*Endoscopic Suturing of a Novel Gastroesophageal Antireflux Device (GARD) A Prelinary Report*, N.J. Godin et al., Gastrointestinal Endoscopy, Abstract, Vol. 43, No. 4, 1996
	13.	*An endoscopic stapling device: the development of new flexible endoscopically controlled device for placing multiple transmural staples in gastrointestinal tissue*, C. Paul Swain, MD et al., Gastrointestinal Endoscopy, Vol. 35, No. 4, 1989 pp 338-339
	14.	*An endoscopically deliverable tissue-transfixing device for securing biosensors in the gastrointestinal tract*, C. Paul Swain, MD et al. Gastrointestinal Endoscopy, 1994
	15.	*Development of a gastroplasty with variable diameter. Experimental study using artificial sphincters*, M. Merlini et al., 1992 Abstract
	16.	*Synthetic Biodegradable Polymers as Medical Devices*, John C. Middleton et al., Medical Plastics and Biomaterials Magazine MPS Article Index, March 1998
	17.	*Experimental study on in situ tissue engineering of the stomach by an acellular collagen sponge scaffold graft*, Hori Y. Nakamura et al., Abstract, May 2001
	18.	*Repair of Full-Thickness Defects in Alimentary Tract Wall with Patches of Expanded Polytetrafluoroethylene*, Daniel S. Oh, MD et al., Annals of Surgery 2002; 235:708-712
	19.	*Stents in the small intestine*, Singh S, Gagneja HK, Abstract, Oct. 2002
	20.	*Endoscopic vertical band gastroplasty with an endoscopic sewing machine*, Amjad N. Awan MD et al., Gastrointestinal Endoscopy, Vol. 55, No. 2, 2002, pp. 254-256
	21.	*A through-the-scope device of suturing and tissue approximation under EUS control*, Annette Fritscher-Ravens, MD, et al., Gastrointestinal Endoscopy, Vol. 56, No. 5, 2002, pp. 737-742

EXAMINER	DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	22.	*Evaluation of porcine-derived small intestine submucosa as a biodegradable graft for gastrointestinal healing*, SG del la Fuente et al., Abstract, J. Gastrointest Surg Jan. 2003
	23.	*Bard EndoCinch: the device, the technique and pre-clinical studies*, Paul Swain, M.D. et al., Gastrointestinal Endoscopy Clinics of North America, 13, 2003 pp 75-88
	24.	*Endoscopic suturing for gastroesophageal reflux disease: clinical outcome with the Bard Endocinch*, Richard I. Rothstein, MD et al., Gastrointestinal Endoscopy Clinics of North America, 13 (2003) pp. 89-101
	25.	*Wilson-Cook sewing device: the device, technique, and preclinical studies*, Michael Rosen MD, et al., Gastrointestinal Endoscopy Clinics of North America, 13 (2003) pp 103-108
	26.	*Endoscopic full-thickness plication: the device, technique, pre-clinical and early clinical experience*, Ram Chuttani, MD, Gastrointestinal Endoscopy Clinics of North America, 13 (2003) pp 109-116
	27.	*Microvasive gastric stapler: the device, technique, and preclinical results*, Tom R. De Meester MD, Gastrointestinal Endoscopy Clinics of North America, 13 (2003) pp 117-133
	28.	*Endoscopic Gastropexy and Crural Repair for Gastro-Esophageal Reflux: Transgastric Surgery Under Endoscopic Ultrasound Control II*, Anette Fritscher-Ravens et al. Digestive Disease Week 2003 Abstract
	29.	*Endoscopic suturing for treatment of GERD*, m. Brian Fennerty, MD, Gastrointestinal Endoscopy, Vol. 57, No. 3, 2003 pp 390-395
	30.	*Transgastric gastropexy and hiatal hernia repair for GERD under EUS control: a porcine model*, Annette Fritscher-Ravens, MD et al., Gastrointestinal Endoscopy, Vol. 59, No. 1, 2004, pp 89-95
	31.	*Effect of Duodenal-Jejunal Exclusion of a Non-obese Animal Model of Type 2 Diabetes*, Francesco Rubino, MD et al., Annals of Surgery, Vol. 239, No. 1, January 2004, pp.
	32.	*The LAP-BAND Solution*, BioEnterics Corporation, Brochurehttp://www.bioenterics.com/
	33.	*Successful Uses in Approximation Ligation & Fixation using the QUIK-STITCH, ENDOSCOPIC SUTURING SYSTEM*, PARÉ Surgical, Inc. Brochure 2001
	34.	*Obesity Treatment*, Medical Innovation Developpement, Brochure
	35.	*The Remote Controlle Sedish Band, The method of choice in modern treatment of morbid obesity*, OBTECH MEDICAL AG, Brochure
	36.	*The Bard EndoCinch Procedure*, Introducing Endoscopic Technology for the Treatment of GERD
	37.	*Microvasive WALLSTENT® Colonic and Duodenal Endoprosthesis*, Boston Scientific website, www.bostonscientific.com, Sept. 20, 2002
	38.	*COOK® Wilson-Cook Medical GI Endoscopy*, Wilson Cook: Biliary/Pancreatic Stents, www.cookgroup.com, Sept. 20, 2002
	39.	*ROSS.COM, Abbott Laboratories Online*, Product Handbook, T-Fastener Set
	40.	*T=Anchor Introducer Gun™ Details*, Moss™ Tubes Brochure
	41.	*Bioabsorable Polymers*, William B. Gleason, University of Minnesota, 1998
	42.	*Cope Gastrointestinal Suture Anchor Set*, www.cookgroup.com, Cook Diagnostic and Interventional Products Advertisement 2000
	43.	*LSI Solutions®*, SEW-RIGHT® SR 5, Advertisement received at ASBS Conference 2002
	44.	SEW-RIGHT® SR 5™ & SR 10™, Ti-KNOT® TK 5™ Advertisement received at ASBS Conference 2002

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